

PATENT

Docket No. MTI-31041

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Ping, et al. Group Art Unit: 2812  
Serial No. : 09/816,962 Examiner : A. Roman  
Filing Date : March 23, 2001 Confirmation No. : 8677  
For: Method For Forming Raised Structures by Controlled Selective Epitaxial Growth  
of Facet Using Spacer

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

**Mailing**☐ deposited with the US Postal Service in an envelope addressed to the Asst. Comm. for Patents, Washington, D.C. 20231**37 CFR 1.8(a)****37 CFR 1.10**☐ with sufficient postage as first class mail ☐ As "Express Mail Post Office to Addressee" Mailing Label No.**Transmission**☒ transmitted by facsimile to Fax No. 703/308-6916 to OFFICE OF PETITIONS at the US Patent and Trademark Office.Date: 4-28-3Patricia Raye Pickens**OFFICE OF PETITIONS**

Assistant Commissioner for Patents

Washington, D.C. 20231

**FAX RECEIVED****APR 28 2003****INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, the Applicant submits the information listed on the enclosed Form 1449 for consideration in the above-identified application. No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103.

All references listed on the enclosed Form PTO 1449 were previously listed on Form PTO-892 of USSN 10/046,497, which is a division of the present application. Copies of these documents are not provided because they are available in the divisional application. However, if copies are not available, Applicant will provide a copy upon request.

This Information Disclosure Statement is being filed with a Request for Continued Examination under 37 CFR §1.114. Please charge any required fee to Account No. 23-2053.

Return of the enclosed Form 1449 in the next communication to Applicant, showing the citations as initialed and considered, is respectfully requested.

Respectfully submitted,

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Patent

Attorney Docket No. MTI-31041

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE Washington, D.C. 20231  <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b>	ATTY. DOCKET NO.	Serial No.
	MTI-31041	09/816,962
	Applicant	Confirmation No.
	Ping et al.	8677
	Filing Date	Group Art Unit
	03/23/2001	2814

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
	5,397,909	03-1995	Moslehi, Mehrdad M.	257	383	
	6,232,641	05-2001	Miyano et al.	257	382	
	6,506,649	01-2003	Fung et al.	438	300	
	5,198,378	03-1993	Rodder et al.	438	297	
	6,143,608	11-2000	He et al.	438	264	
	6,051,473	04-2000	Ishida et al.	438	300	

## PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initial	Patent No.	Issue Date	Patentee	U.S. Class	Sub-Class	Filing Date
	2002/0072181	06-2002	Tseng, Horng-Huei	438	300	

## FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

Examiner Initial	Document Number	Publication Date	Country	Int'l Class	Sub-Class	Translation (Yes/No)

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

Examiner Initial	Non-Patent Document

Examiner Initials	Date Considered
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	